

(FUND DESIGNATION RECORD – TYPE 09)

Format/Edits

Field No.	Field Name	Begin Pos	Size	Picture	Field Edits
1	Record Type	1	2	9(02)	Required. Must be 09. See Note 1.
2	Approved Insurance Provider	3	2	X(02)	Required. Edit with RO AIP/Company table.
3	Location State	5	2	9(02)	Required. Edit with FIPS State table.
4	Filler Company	7	3	X(03) 9(03)	Must be spaces. For Reinsured edit with company table. Must be valid Pic code for reinsurance year.
5	Policy Number	10	7	9(07)	Required. Must be > zeros.
6	Crop Year	17	4	9(04)	Required. Must be the crop year of the crops reported under the policy. This will equal the Reinsurance Year or Reinsurance Year +/- 1 for applicable crop code.
7	Crop Code	21	4	9(04)	Required. Edit with ADM2.
8	Insurance Plan Code	25	2	9(02)	Required. Edit with ADM2.
9	Location County	27	3	9(03)	Required; Edit with FIPS County Table.
10	Filler	30	5	X(05)	Must be Spaces.
11	Type Code	35	3	9(03)	Optional for new or carryover policies. Required for California Grapes (0052 & 0053) and Arizona Grapes (0052). (See Note 2) Will be edited against ADM2. For crops with more than one sales closing date, type code may be required for determining fund designation cutoff date based on the sales closing date.
12	Practice Code	38	3	9(03)	Optional for new or carryover policies (See Note 2) edited with ADM2. For crops with more than one sales closing date, practice code may be required for determining fund designation cutoff date based on the sales closing date.
13	Filler	41	1	X(01)	Must be Spaces.
14	Type 09 Key Reserve	42	34	X(34)	Space Reserved for Additional key data required in the future or for other record types. Must be spaces or blanks.
15	Record Number	76	3	9(03)	Must be > zero and unique within a Crop Policy (fields 2 thru 9) and field 11 for California Grapes (0052 & 0053) and Arizona Grapes (0052).
16	Primary Fund Designation Flag	79	1	X(01)	Required; must be one of the following: A = Assigned Risk Fund C = Commercial Fund D = Development Fund

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17	High Risk CAT Fund Flag	80	1	X(01)	Required if fund is different than primary fund designation. A = Assigned Risk Fund C = Commercial Fund D = Development Fund Otherwise, spaces. If High Risk land is insured under a separate CAT policy, field 17 should be spaces.
18	Reserve Fund Flag	81	1	X(01)	Must be spaces.
19	Primary Assigned Risk Fund Rule	82	1	9(01)	If Primary Fund Designation Flag = A, must be 1-5, else 0; 0 = Not Applicable 1 = Designated to Assigned Risk Fund 2 = Designated with Alternate Crop Extension to Assigned Risk Fund Coverage flag on 14 Record must be "C" (Cat). Allowed only after normal cutoff date, no modification after acceptance. 3 = Original Designation with written agreement extension to assigned risk fund and must be after normal cutoff date (written agreement date is required) 4 = Carryover policies timely designated to the Developmental Fund may be transferred to the Assigned Risk Fund by the assigned risk cutoff date after the carryover developmental fund cutoff date. 5 = Subsequent multi-county policy crop county record submitted after fund cutoff. Requires timely submitted record with a "P" or "N" in the Multiple County Flag with the same fund designation. Allowed only after normal cutoff date, no modification after acceptance. "S" is only allowed if insured has no previous insurance in the county for any crop for current crop year.
20	Reserved	83	1	X(01)	Must be spaces.

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21	Primary Development Fund Rule	84	1	9(01)	<p>If Primary Fund Designation Flag = D; must be 1, 3, or 4, else 0;</p> <p>0 = Not Applicable</p> <p>1 = New or carryover policy designated to the Development fund</p> <p>2 = Reserved.</p> <p>3 = Previously an Assigned Risk fund rule of 4, transferring back to the Developmental fund timely.</p> <p>4 = Subsequent multi county policy crop county record submitted after fund cutoff. Requires timely submitted record with a "P" or "N" in the Multiple County Flag with the same fund designation, RO, PIC, Policy, Crop, Plan</p> <p>Allowed only after normal cutoff date, no modification after acceptance.</p> <p>This record must contain an "S" in the multi county flag field. "S" is only allowed if insured has no previous insurance in the county for any crop for current crop year.</p>
22	Primary Commercial Fund Rule	85	1	9(01)	<p>If Primary Fund Designation Flag = C, must be 1, else 0; 0 = Not Applicable</p> <p>1 = Designated to Commercial Fund</p> <p>This field may be used to timely transfer a previously established policy from Assigned Risk or Developmental.</p>
18	Filler	81	5	X(05)	Must be spaces.
2319	Insured's Signature Date for the Crop	86	8	9(08)	<p>For any agriculture commodity without a fixed sales closing date, signature date is used to determine fund designation cutoff for new policies.</p> <p>Required for new Florida Fruit Trees and Nursery policies. Otherwise, zero fill.</p>
24	M-14 Review Flag	94	2	9(02)	Must be zeros.
20	Written Agreement Year Flag		1	X(01)	<p>Must be Y or N if there is a RMA approved written agreement on the eligible crop insurance contract. Spaces if no written agreement.</p> <p>Y - This is the initial year of the written agreement or the written agreement must be renewed or approved annually and the WA approval date is used to calculate fund cutoff.</p> <p>N - This is not the initial year of the written agreement and the sales closing date will be used to calculate fund cutoff.</p>
2521	Alternate Crop Designation	95	1	X(01)	A = Designated with Alternate Crop

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					<p>Extension to Assigned Risk Fund Coverage flag on 14 Record must be "C" (Cat). Allowed only after normal cutoff date, with RMA approval, no modification after acceptance. Else spaces.</p>
2522	Contract Fund Flag	96	1	X(01)	<p>0 = For new contracts 1 = Contract carried over from previous year. A carryover contract is defined in the SRA as one in which the entity had insurance on the same crop and plan code with the same company in the state and county the previous year. Florida Fruit Trees and Nursery required; otherwise spaces.</p>
2623	Written Agreement Number	97	8	X(08)	<p>For RSO RMA issued Written Agreements enter the identification number for the approved written agreement for the RO, state, county and crop. For company issued written agreements, enter RO code followed by 6 digits, ONLY if the type equals SM, UA, NB, TC or TS and the Written Agreement Processing Flag equals 'R' or 'W'. The first 3 digits identify the issuing RSO and must be valid for the location state. Enter spaces if No Written Agreement exists.</p>
2724	Written Agreement Date	105	8	9(08)	<p>Format is MMDDCCYY. Required if fund cutoff date is later due to written agreement or if sales closing date does not exist for crop location state/county or rate state/county (written agreement processing flags W, NC or NT). If Assigned Risk Fund rule = 3, then Fund Designation cutoff is 30 days from RSO Written Agreement date. Cannot Exceed Transmission Date. Else, zero fill.</p>
2825	Written Agreement Type	113	2	X(02)	<p>Valid Written Agreement types are: HR, LS, NB, OC, OP, OT, NL, PT, RE, SC, SG, SM, SP, TC, TD, TP, TS, UA, UC, XC and 33. Enter '33' if the insurance rate is provided on a FCI-33 (rules page, map or supplement). All entries, except a "33" require a valid Written Agreement Number in field 23. All single values must be left justified. Nursery and Aquaculture = spaces. Enter spaces if NO Written agreement is in effect.</p>

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29 26	Written Agreement Processing Flag	115	2	X(02)	<p>Must be:</p> <p>H = ONLY for CRC High Risk land WITH a FCI-2 Agreement that changes the High Risk rate or .factor USE the High Risk Classification Premium Calculation Worksheet to calculate the premium.</p> <p>P = FCI-2 Dollar Amount of Insurance exception for Macadamia Trees.</p> <p>R = FCI-2 Agreement with a Reference County.</p> <p>W = FCI-2 Agreement with no Reference County</p> <p>3 = FCI-33 (rules page, map or Supplement) Rates</p> <p>RC = Certified organic acreage with a location or reference county</p> <p>RT = Transitional acreage with a location or reference county</p> <p>NC = Certified organic acreage with no reference county</p> <p>NT = Transitional acreage with no reference county</p> <p>Otherwise, spaces.</p> <p>See Exhibit 11-8 for edit details.</p>
30 27	Rate State	117	2	9(02)	Required. Edit with FIPS State Table. See Note 4.
31 28	Rate County	119	3	9(03)	Required. Edit with FIPS County Table. See Note 4.
32 29	Primary Fund Designation Cutoff Date	122	8	9(08)	RMA Internal use only: Cutoff date determined by DAS for the primary fund. Format is: CCYYMMDD. Zero Fill.
33 30	HRC Cutoff Date	130	8	9(08)	RMA Internal use only: Cutoff date determined by DAS. Format is: CCYYMMDD. Zero Fill.

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3431	Multiple Added County Flag	138	1	X(01)	Applicable if producer selected multiple added county option on application. Otherwise, spaces. Enter 'P' on the primary (designated) record for the policy crop/plan county within a state. This will establish the fund for subsequent policy state crop/plan fund records. Primary (designated) record cannot be changed after the cutoff date. Only one "P" record is allowed per state/crop/plan. Enter 'S' on subsequent policy crop county records submitted after the fund cutoff date. "S" is only allowed if insured has no previous insurance in the county for any crop for current crop year. <u>Contract Fund Flag (field 25) must be zero on Subsequent records.</u> Enter "N" on the primary (designated) record for the policy crop/plan nationwide. This will establish the fund for subsequent policy crop/plan. Primary (designated) record cannot be changed after the cutoff date. Only one "N" record is allowed per policy/crop/plan. *Only Category B crops (excluding Forage Production) qualify for multi- added county.
32	Filler	139	7	X(07)	Must be spaces.
35	HRC Assigned Risk Fund Rule	139	1	9(01)	If HRC Fund Designation Flag = A; must be 1, 4, or 5, else 0. 0 = Not Applicable 1 = Designated to Assigned Risk Fund 2 = Reserved. 3 = Reserved 4 = Carryover policies timely designated to the Developmental Fund transferred to the Assigned Risk Fund by the assigned risk cutoff date after the carryover developmental fund cutoff date. 5 = Subsequent multi county policy crop county record submitted after fund cutoff. Requires timely submitted record with a "P" or "N" in the multiple county flag with the same fund designation. "S" is only allowed if insured has no previous insurance in the county for any crop for current crop year.
36	Reserved	140	1	X(01)	Must be spaces.

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Field No.	Field Name	Begin Pos	Size	Picture	Field Edits
37	HRC Development Fund Rule	141	1	9(01)	If HRC Fund Designation Flag = D; must be 1, 3, or 4, else 0. 0 = Not Applicable 1 = New or carryover policy designated to the Development fund 2 = Reserved 3 = Previously an Assigned Risk fund rule of 4, transferring back to the Developmental Fund timely. 4 = Subsequent multi county policy crop county record submitted after fund cutoff. Requires timely submitted record with a "P" or "N" in the Multiple County flag with the same fund designation. "S" is only allowed if insured has no previous insurance in the county for any crop for current crop year.
38	HRC Commercial Rule	142	1	9(01)	If HRC Fund Designation Flag = C, must be 1, else 0. 0 = Not Applicable 1 = Designated to Commercial Fund
3933	Multi- Added County Reference State	146	2	9(02)	Required if multi added county flag equals "S", otherwise must equal zeros. Enter the state code for the policy to be referenced for multi added county edit. Must be numeric. If this field is filled reference the 9 record Isam for multi county flag of 'P' or 'N'.
40	Multi-County Reference Company Number	145	3	9(03)	Enter the company number for the policy to be referenced for multi county edit. Must be numeric.
4134	Multi-Added County Reference Policy Number	148	7	9(07)	Enter the policy number for the policy to be referenced for multi added county edit. Must be numeric.
4235	Multi-Added County Reference Crop Year	155	4	9(04)	Enter the crop year for the policy to be referenced for multi added county edit. Must be numeric.
4336	Multi-Added County Reference Crop Code	159	4	9(04)	Enter the crop code for the policy to be referenced for multi added county edit. Must be numeric.
4437	Multi-Added County Reference Location County	163	3	9(03)	Enter the location county for the policy to be referenced for multi added county edit. Must be numeric.

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4538	Multi-Added County Reference Type Code	166	3	9(03)	Enter the type code for the policy to be referenced for multi added county edit. Must be numeric. Required only for CA Grapes (0052 and 0053) and AZ Grapes (0052); else zero fill.
4639	Filler	169	382	X(382)	Must be spaces.

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Field No.	Field Name	Begin Pos	Size	Picture	Field Edits
4740	FCIC Control Time	551	4	9(04)	Internal Use. The time the transaction batch file was received. (From when transmission started) HHMM Format.
4841	FCIC Control Date	555	8	9(08)	Internal Use. The date the transaction batch file was received. (From when transmission started) MMDDCCYY Format.
4942	Reinsurance Year	563	4	9(04)	Internal Use. The Reinsurance Year. CCYY format.
5043	Batch Number	567	4	9(04)	Internal Use. The sequential number identifying the file that was submitted by the RO AIP to FCIC/RMA.
5144	Transaction Sequence Number	571	8	9(08)	Internal Use. The sequential number assigned to each transaction number processed by DAS <u>after it has been sorted</u>
5245	Transaction Rejected Flag	579	1	X(01)	Internal. Reserved.
5346	Transaction Source Flag	580	1	X(01)	Internal. Reserved.
5447	FCIC Initially Accepted Date	581	8	9(08)	Internal Use. The date this record was initially accepted by DAS. MMDDCCYY format.
5548	FCIC Initially Accepted Batch	589	4	9(04)	RMA Internal Use. The sequential number identifying the file that was initially submitted by the RO AIP to FCIC/RMA and accepted by DAS.
5649	Filler	593	8	X(08)	Internal.

Notes:

- The type 9 record must pass all edits **and be accepted** to establish the fund designation for the eligible crop insurance contract. The type 9 record will be processed independently from all other record types. Once a type 9 is accepted for policy/crop/county into a fund it doesn't need to be resent, **unless to timely modify the fund designation.**
- If the Sales Closing Date used for ~~new or carryover policy~~ fund designations varies by Plan, Type or Practice, the DAS lookup will use them to determine the Sales Closing Date if submitted, otherwise DAS will use the earliest Sales Closing Date for the county/crop/plan code to establish the fund cutoff date.

~~For Carryover policies, the Contract Change Date for the Location county, crop and plan code will be used to establish the Developmental fund cut-off date.~~
- If the Fund Designation cutoff date is later than the 'normal' cutoff date due to: a written agreement, ~~Assigned Risk Fund Rule 3~~, a written agreement date (field 27), written agreement number, written agreement type, **and** written agreement processing flag **and written agreement year flag of "Y"** are required. Rate State and Rate County can only be different from Location State and County if a written agreement is in effect. ADM 3 lookup is based on Rate State/Rate County.
- Accepted Type 9 Records will be in the ".acp" and ".fun" output files.